Ideal Lattices and Ring-LWE: Overview and Open Problems

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ICERM 23 April 2015

Agenda

1 Ring-LWE and its hardness from ideal lattices

Open questions

Selected bibliography:

LPR'10 V. Lyubashevsky, C. Peikert, O. Regev.

"On Ideal Lattices and Learning with Errors Over Rings," Eurocrypt'10 and JACM'13.

LPR'13 V. Lyubashevsky, C. Peikert, O. Regev. "A Toolkit for Ring-LWE Cryptography," Eurocrypt'13.

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2010 Ring-LWE: efficient encryption, worst-case hardness

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- **Search:** find secret $\mathbf{s} \in \mathbb{Z}_q^n$ given many 'noisy inner products'

$$\mathbf{a}_1 \leftarrow \mathbb{Z}_q^n \quad , \quad \boldsymbol{b}_1 \approx \langle \mathbf{a}_1 \; , \; \mathbf{s} \rangle \mod q \\ \mathbf{a}_2 \leftarrow \mathbb{Z}_q^n \quad , \quad \boldsymbol{b}_2 \approx \langle \mathbf{a}_2 \; , \; \mathbf{s} \rangle \mod q$$

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LWE is Hard (... maybe even for quantum!)

worst case
lattice problems
$$\leq_{f}$$
 search-LWE \leq_{f} decision-LWE \leq_{f} crypto
(quantum [R'05]) [BFKL'93,R'05,...]

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Also a *classical* reduction for search-LWE [P'09, BLPRS'13]

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Public Key Encryption and Oblivious Transfer[R'05,PVW'08]Actively Secure PKE (w/o RO)[PW'08,P'09,MP'12]

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Leakage-Resilient Crypto[AGV'09,DGKPV'10,GKPV'10,ADNSWW'10,...]Fully Homomorphic Encryption[BV'11,BGV'12,GSW'13,...]Attribute-Based Encryption[AFV'11,GVW'13,BGG+'14,...]Symmetric-Key Primitives[BPR'12,BMLR'13,BP'14,...]Other Exotic Encryption[ACPS'09,BHHI'10,OP'10,...]the list goes on...[ACPS'09,BHHI'10,OP'10,...]

$$(\cdots \mathbf{a}_i \cdots) \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + e = \mathbf{b} \in \mathbb{Z}_q$$

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Cryptosystems have rather large keys:

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▶ Can fix A for all users, but still $\ge n^2$ work to encrypt & decrypt an n-bit message

$$\begin{pmatrix} \vdots \\ \mathbf{a}_i \\ \vdots \end{pmatrix} \star \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + \begin{pmatrix} \vdots \\ \mathbf{e}_i \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ \mathbf{b}_i \\ \vdots \end{pmatrix} \in \mathbb{Z}_q^n$$

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How to define the product '*' so that (a_i, b_i) is pseudorandom?

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Answer

• '*' = multiplication in a polynomial ring: e.g., $\mathbb{Z}_q[X]/(X^n+1)$.

Fast and practical with FFT: $n \log n$ operations mod q.

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Same ring structures used in NTRU cryptosystem [HPS'98],
 & in compact one-way / CR hash functions [Mic'02,PR'06,LM'06,...]

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- **Search**: find secret ring element $s(X) \in R_q$, given:

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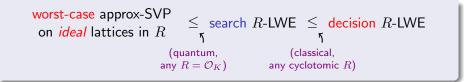
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▶ Decision: distinguish (a_i, b_i) from uniform $(a_i, b_i) \in R_q \times R_q$ (with noticeable advantage)

Hardness of Ring-LWE

Two main theorems (reductions):



Hardness of Ring-LWE

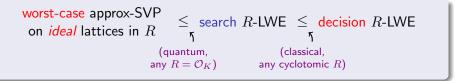
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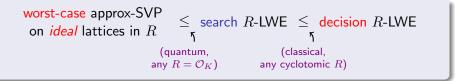
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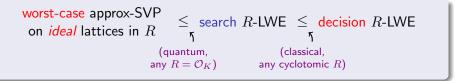
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★ If you can break the crypto, then you can distinguish (a_i, b_i) from (a_i, b_i) ...

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2 [Minkowski]: 'canonical embedding.' Let $\omega = \exp(\pi i/n) \in \mathbb{C}$, so roots of $X^n + 1$ are $\omega^1, \omega^3, \dots, \omega^{2n-1}$. Embed:

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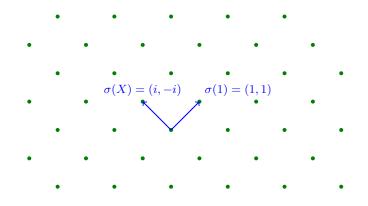
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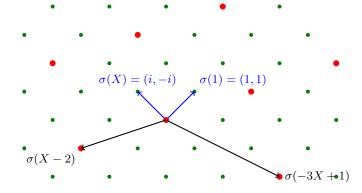
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(NB: LWE error distribution is Gaussian in canonical embedding.)

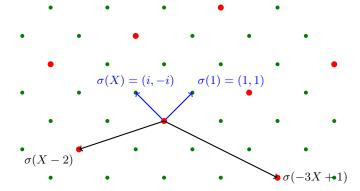
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Say R = Z[X]/(X² + 1). Embeddings map X → ±i.
I = ⟨X - 2, -3X + 1⟩ is an ideal in R.



▶ Say $R = \mathbb{Z}[X]/(X^2 + 1)$. Embeddings map $X \mapsto \pm i$. ▶ $\mathcal{I} = \langle X - 2, -3X + 1 \rangle$ is an ideal in R.



(Approximate) Shortest Vector Problem

• Given (an arbitrary basis of) an arbitrary ideal $\mathcal{I} \subseteq R$, find a nearly shortest nonzero $a \in \mathcal{I}$.

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Theorem 1

For any large enough q, solving search R-LWE is as hard as quantumly solving poly(n)-approx SVP in any (worst-case) ideal lattice in $R = \mathcal{O}_K$.

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- Proof follows the template of [Regev'05] for LWE & arbitrary lattices. Quantum component used as 'black-box;' only classical part needs adaptation to the ring setting.
- Main technique: 'clearing ideals' while preserving *R*-module structure:

$$\mathcal{I}/q\mathcal{I} \quad \mapsto \quad R/qR, \ \mathcal{I}^{\vee}/q\mathcal{I}^{\vee} \quad \mapsto \quad R^{\vee}/qR^{\vee}.$$

Uses Chinese remainder theorem and theory of duality for ideals.

Theorem 2 Solving decision *R*-LWE in any cyclotomic $R = \mathbb{Z}[\zeta_m] \cong \mathbb{Z}[X]/\Phi_m(X)$ (for any poly(*n*)-bounded prime $q = 1 \mod m$) is as hard as solving search *R*-LWE.

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• \mathbb{Z}_a^* has order $q - 1 = 0 \mod m$, so has an element ω of order m.

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- Modulo q, $\Phi_m(X)$ has $n = \varphi(m)$ roots ω^j , for $j \in \mathbb{Z}_m^*$.
- So there is a ring isomorphism $R_q \cong \mathbb{Z}_q^n$ given by

$$a(X) \in R_q \mapsto (a(\omega^j))_{j \in \mathbb{Z}_m^*} \in \mathbb{Z}_q^n.$$

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Proof Sketch

Given: \mathcal{O} distinguishes samples $(a, b \approx a \cdot s)$ from uniform (a, b).

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Given: \mathcal{O} distinguishes samples $(a, b \approx a \cdot s)$ from uniform (a, b).

<u>Goal</u>: Find $s \in R_q$, given samples $(a, b \approx a \cdot s)$.

1 Equivalent to finding $s(\omega^j) \in \mathbb{Z}_q$ for all $j \in \mathbb{Z}_m^*$.

Theorem 2

Solving decision Ring-LWE in $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$ is as hard as solving search Ring-LWE.

Proof Sketch

Given: \mathcal{O} distinguishes samples $(a, b \approx a \cdot s)$ from uniform (a, b).

- **1** Equivalent to finding $s(\omega^j) \in \mathbb{Z}_q$ for all $j \in \mathbb{Z}_m^*$.
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 ω → ω^k (k ∈ Z_m^{*}) permutes roots of Φ_m(X), and preserves error.
 So send each ω^j to ω^{j*}, and use O to find s(ω^j).

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"cyclotomic fields, used for Ring-LWE, are uniquely protected against the attacks presented in this paper"

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- ★ These conditions are extremely rare for general ideals, so (worst-case) approx-*R*-SVP is unaffected.