# Ideal Lattices and Ring-LWE: Overview and Open Problems 

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## Agenda

(1) Ring-LWE and its hardness from ideal lattices
(2) Open questions

Selected bibliography:
LPR'10 V. Lyubashevsky, C. Peikert, O. Regev.
"On Ideal Lattices and Learning with Errors Over Rings," Eurocrypt'10 and JACM'13.

LPR'13 V. Lyubashevsky, C. Peikert, O. Regev.
"A Toolkit for Ring-LWE Cryptography," Eurocrypt'13.

## A Brief, Selective History of Lattice Cryptography

1996 Ajtai's worst-case/average-case reduction, one-way function \& public-key encryption

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2010 Ring-LWE: efficient encryption, worst-case hardness

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\mathbf{a}_{1} \leftarrow \mathbb{Z}_{q}^{n} & , \quad b_{1} \approx\left\langle\mathbf{a}_{1}, \mathbf{s}\right\rangle \bmod q \\
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LWE is Hard (... maybe even for quantum!)
worst case
lattice problems $\leq$ search-LWE $\leq{ }_{\tau}$ decision-LWE $\leq$ crypto (quantum [R'05]) [BFKL'93,R'05,...]

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\begin{aligned}
& \leq \text { search-LWE } \leq \text { decision-LWE }_{\leq} \leq \text {crypto } \\
& \left(\text { quantum }\left[R^{\prime} 05\right]\right) \quad\left[B F K L^{\prime} 93, R^{\prime} 05, \ldots\right]
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- Also a classical reduction for search-LWE [P'09,BLPRS'13]


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Leakage-Resilient Crypto [AGV'09,DGKPV'10,GKPV'10,ADNSWW'10,...]
Fully Homomorphic Encryption
Attribute-Based Encryption
[AFV'11,GVW'13,BGG+'14,...]
Symmetric-Key Primitives
[BPR'12,BMLR'13,BP'14,...]
Other Exotic Encryption [ACPS'09,BHHI'10,OP'10,...]
the list goes on...

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p k=\underbrace{\left(\begin{array}{c}
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\end{array}\right)}_{n}, \quad\left(\begin{array}{c}
\vdots \\
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\vdots
\end{array}\right)\} \Omega(n)
$$

- Can fix $\mathbf{A}$ for all users, but still $\geq n^{2}$ work to encrypt \& decrypt an $n$-bit message


## Wishful Thinking. . .

$$
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Fast and practical with FFT: $n \log n$ operations $\bmod q$.

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- Same ring structures used in NTRU cryptosystem [HPS'98], \& in compact one-way / CR hash functions [Mic'02,PR'06,LM'06,...]


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- Decision: distinguish $\left(a_{i}, b_{i}\right)$ from uniform $\left(a_{i}, b_{i}\right) \in R_{q} \times R_{q}$ (with noticeable advantage)


## Hardness of Ring-LWE

- Two main theorems (reductions):
worst-case approx-SVP on ideal lattices in $R$


## $\leq$ search $R$-LWE $\leq$ decision $R$-LWE <br> (classical, <br> any $\left.R=\mathcal{O}_{K}\right) \quad$ any cyclotomic $R$ )

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« If you can break the crypto, then you can distinguish $\left(a_{i}, b_{i}\right)$ from $\left(a_{i}, b_{i}\right) \ldots$

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- Say $R=\mathbb{Z}[X] /\left(X^{n}+1\right)$ for power-of-two $n$.

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(1) 'Obvious' answer: 'coefficient embedding'

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(NB: LWE error distribution is Gaussian in canonical embedding.)

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- $\mathcal{I}=\langle X-2,-3 X+1\rangle$ is an ideal in $R$.



## (Approximate) Shortest Vector Problem

- Given (an arbitrary basis of) an arbitrary ideal $\mathcal{I} \subseteq R$, find a nearly shortest nonzero $a \in \mathcal{I}$.


## Hardness of Search Ring-LWE

## Theorem 1

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- Proof follows the template of [Regev'05] for LWE \& arbitrary lattices. Quantum component used as 'black-box;' only classical part needs adaptation to the ring setting.
- Main technique: 'clearing ideals' while preserving $R$-module structure:

$$
\begin{array}{rll}
\mathcal{I} / q \mathcal{I} & \mapsto & R / q R, \\
\mathcal{I}^{\vee} / q \mathcal{I}^{\vee} & \mapsto & R^{\vee} / q R^{\vee} .
\end{array}
$$

Uses Chinese remainder theorem and theory of duality for ideals.

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Solving decision $R$-LWE in any cyclotomic $R=\mathbb{Z}\left[\zeta_{m}\right] \cong \mathbb{Z}[X] / \Phi_{m}(X)$
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- So there is a ring isomorphism $R_{q} \cong \mathbb{Z}_{q}^{n}$ given by

$$
a(X) \in R_{q} \mapsto \quad\left(a\left(\omega^{j}\right)\right)_{j \in \mathbb{Z}_{m}^{*}} \in \mathbb{Z}_{q}^{n}
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Given: $\mathcal{O}$ distinguishes samples $(a, b \approx a \cdot s)$ from uniform $(a, b)$.
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* Next up: attacks on a specialized variant: given a principal ideal $\mathcal{I}$ guaranteed to have an "unusually short" generator, find it.
* These conditions are extremely rare for general ideals, so (worst-case) approx- $R$-SVP is unaffected.

